Page
Serial No.: RECEIVED

1 6 2001 Fore PTO-144 (Modified) Atty Docket No.: 003801.P021 List of Patents and Publications Statement **Applicants:** Lou Leonardo et al. (Use several sheets if necessary) Filing Date: May 30, 2000 REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS** Exam. Date Document Name Class Sub-Filing Date Number Class Initial 37 11/10/98 5,835,896 Fisher et al. 705 3/29/96 V.F 3/28/68 5/25/71 3,581,072 Frederick Nymeyer 235 152 6/30/87 4,677,552 Sibley, Ir. 364 408 10/5/84 1/17/89 4,799,156 Shavit et al. 401 364 10/1/86 Wagner 408 2/20/90 4,903,201 364 11/3/83 Lindsey et al. 408 9/14/90 11/5/91 5,063,507 364 Lupien et al. 5/31/89 3/31/92 5,101,353 408 364 8/4/92 5,136,501 Silverman et al. 364 408 5/26/89 12/1/92 5,168,446 Wiseman 408 5/23/89 364 9/7/93 5,243,515 401 10/30/90 Lee 364 11/2/90 408 11/2/93 5,258,908 Hartheimer et al. 364 V.D**FOREIGN PATENT DOCUMENTS** No. Document No. Date Country Name Class Sub-Trans-Class lation 2,253,543 10/9/97 Canada Y 9/1/94 Netherlands 9300266 WO 92/15174 9/3/92 **PCT** OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) Thomas W. Malone, Joanne Yates, Robert I. Benjamin, "Electronic Markets and Electronic Hierarchies", Communications of the ACM, June 1987, Vol. 30, Number 6, p. 484-497. AUCNET: TV Auction network System, Harvard Business School, 9-190-001, Rev. April 12, 1996, p. 1-15. Ho Geun Lee, "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", Proceedings of the 29th Annual Hawaii International Conference on System Sciences - 1996, p. 397-406. Date Considered French EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form P	TO-1449 (Mo	difie	d)		Atty Do 003801.	ocket No.: 2021	S ₀	erial N 9/583,2	o.: 216 <i>P</i> /	5Cr
	tents and Publi Expressions if ne			ement	Applica Lou Lec Filing I	nardo et al.	2000		MAY Chro.	CEIVED
MAY 1					18	111ay 00, 2	.000		""1010gy	Cent.
REFERE	NCRS ESIGNA EMARY Date	ATIO	N	U.S. P	ATENT DO	CUMENTS				-Mer 2100
Exam. Initial	Date		ument mber		Name					Filing Date
V.F	4/19/94	5,30	5,200	Harthe	eimer et al.			364	408	11/2/90
7	6/28/94	5,32	5,297	Bird et	al.			364	419.07	6/25/92
	7/12/94	5,32	9,589	Fraser	et al.			379	91	6/3/93
	12/20/94	5,37	5,055	Togher	r et al.			364	408	2/3/92
	2/28/95	5,39	4,324	Clearw	vater			364	402	12/8/93
	6/20/95	5,42	6,281	Abecas	ssis			235	379	10/29/93
	1/18/94	5,28	30,422	Moe et	al.			364	140	11/5/90
	2/10/98	5 <i>,</i> 71	7,989	Tozzol	i et al.		•	<i>7</i> 05	37	10/13/94
	12/20/94	5,37	75,055	Toghe				364	408	2/3/92
	3/22/94	5,297,032 Trojan et			et al.			364	408	2/1/91
1	4/27/93	5,20	5,200	Wrigh	t			91	1	1/9/92
V.F	4/6/71	3,57	73,747	Adams	s et al.			340	172.5	2/24/69
		- · · · -	FOI	REIGN !	PATENT D	OCUMENTS				
No.	Document	No.	D	ate	Country	Name	Cla	ass	Sub- Class	Trans- lation
V.F	2658635		2/16/	90	France	·				
V.F	WO 97/373	315	10/9/	97	PCT					
V.F	WO 96/343	356	10/31	/96	PCT					
	ОТНЕІ	R AR'	Γ (Incl	uding A	author, Titl	e, Date, Pertinen	ıt Pag	es, etc.)	
						luating the Prosp			- 0	
				Markets	s", Internati	onal Conference	on Ir	nforma	tion Sy	stems,
V.r	1991, p. 5								· · _ · · · · · · ·	
11-		-		sale Tal	kes Auction	Gavel Electronic	c", Co	ompute	er Resel	ler News,
V.F	July 8, 19			ID ::	/TF	· D " ~	D) (=	1 .	15: 1	
"Personal Optimized Decision/Transaction Program", IBM Technical Disclosure V.F Bulletin, Vol. 38, No. 1, January 1995, p. 83-84.										
Examine					Date Con	sidered				
Va	nel Frene	l			4	4/4/03				
						n is in conformance this form with next				

	ΓΟ-1449 (Μο		,		Atty Docke 003801.P021		Serial 1 09/583	No.: ,216	980		
	itents and Publi eral speets if neo			ement	Applicants: Lou Leonar			Techno.	PECEIVEI V Center 2700		
/	4 2001 July				Filing Date	: May 30, 20	000	<i>10/09</i>	V Center 2		
REFERE	HOR DESIGNA	ATIO	N	U.S. PA	J.S. PATENT DOCUMENTS						
Exam. Initial	Date		ument mber		Name	2	Clas	Sub- Class	Filing Date		
V.F	3/31/92		1,353	Lupien	et al.		364	408	5/31/89		
-V:/ 	5/25/71		1,072	Nymey			235	152	3/28/68		
1	3/22/94		7,031		nan et al.		364	408	3/6/90		
1	6/16/87		4,044	Kalmus			364	408	1/30/85		
1	1/20/98		0,889	Clark et	al.		395	244	6/7/95		
	8/4/92		6,501	Silverm	an et al.		364	408	5/26/89		
	6/17/97		0,569	Miller e	t al.		395	729	4/28/95		
	3/31/92		1,353	Lupien	et al.		364	408	5/31/89		
	12/6/88		9,928	Fujisaki			364	401	1/30/87		
	12/31/91	5,07	7,665	Silverm			364	408	5/25/89		
*VF	10/6/98	5,81	8,914	Fujisaki			379	93.12	3/27/95		
No.	Document	No.			ATENT DOC	Name Name	Class	Sub- Class	Trans- lation		
	OTHE	R AR	Γ (Incl	uding Au	uthor, Title, D	ate, Pertinen	t Pages, et	c.)			
V-F		-		-	mentation of a gic Information			_	ling in		
V.F					oves, "Design of search, ISSN 1						
V.F	Dutch Auctions", Internet Research, ISSN 1066-2243, Vol. 5, Number 4, 1995, p. 10-16. Marcel N. Massimb, Bruce D. Phelps, "Electronic Trading, Market Structure and Liquidity", Financial Analysts Journal, January / February 1994, p. 39-50.										
Examine				1	Date Conside		,				
Ma	nel Frenc	l				4/4/	03				
EXAMINE	R: Initial if referent not in conformance	ice con									

Form	PTO	-1449 (Mo	difie	d)		Atty Do 003801.	ocket No.: P021		Serial No.: 09/583,216			
List of	Paten	ts and Publi sheets if ne	catior	ns State	ement		Applicants:					
(Use	Several	sheets if ne	cessai	ry)			Lou Leonardo et al.					
M	AY 1 4 2					Filing I	Date: May 30, 2	2000				
THE STATE OF THE S		£/										
RE	RENERAL DESIGNATION U.S. PAT					ATENT DO	OCUMENTS					
Exam.		Date		ument		Ŋ	Name		Class	Sub-	Filing Date	
Initial V.F	 	2/29/05		mber	Clearw				364	Class 402	12 /9 /02	
VY	 	2/28/95		4,324		ater					12/8/93	
 	 	9/3/96		3,145	Micali		-		380	30	8/4/95	
 	 	9/2/97		4,115	Fraser				705	37	6/7/95	
	-	10/20/98		6,244	Huber		· ································		705	37	8/23/95	
	 	11/18/97		9,652	Lupier				395	237	4/27/95	
 	-	12/15/98		0,442	Muftic				380	21	3/26/96	
	 	3/10/98		7,165	Ordish				395	237	12/27/94	
-		12/1/98		5,266	Lupier				705	37	12/12/95	
	 	8/11/98		4,219	Brown				705	37	2/20/96	
Jr.		10/25/83		2,287	Braddo				364	408	9/15/82	
VrF 1/17/89 4,799,156 Shavit et						et al			364	401	10/1/86	
VrF	<u> </u>	1/1/0/	1,17	7,100	Onavic	ct ar.				1	, _,	
<u>Vrf</u>	<u> </u>	1/1//09	1,17		<u> </u>		OCUMENTS			A. i. a. i.		
Vrf No.	<u> </u>	Document		FOF	REIGN		POCUMENTS Name	Cla		Sub-	Trans-	
				FOF	REIGN	PATENT D	,	Cla		 		
				FOF	REIGN	PATENT D	,	Cla		Sub-	Trans-	
		Document	No.	FOF	REIGN I	PATENT D	,		ss	Sub- Class	Trans-	
No.		Document OTHE	No. R AR	FOF Da (Included)	REIGN I	PATENT D Country	Name e, Date, Pertiner	ıt Pag	es, etc.	Sub- Class	Trans- lation	
No.		OTHER "Anonyr Bulletin,	No. R ART	FOR Da (Included) Delive:	REIGN I	PATENT D Country Author, Titl boods in Electrical 1996, p.	Name e, Date, Pertiner tronic Commerc 363-366.	at Page	es, etc.	Sub- Class)	Trans- lation	
No.		OTHER "Anonyr Bulletin, D. L. Pos	No. R ART mous: Vol. 3	FOR Date of the control of the cont	ate uding A ry of Go 03, Mar inger, C	Country Author, Title ods in Elected 1996, p. G. B. Sheble	Name e, Date, Pertiner	e", IBI	es, etc. M Tech	Sub- Class) nnical I	Trans- lation Disclosure	
		OTHER "Anonyr Bulletin, D. L. Pos Mechani	No. R ART mous Vol. 3 tt, S. S sm fo	FOR Daire Cincle Delive No. Copp r the Ir	ry of Go	Country Author, Title ods in Elected 1996, p. G. B. Sheble	Name e, Date, Pertiner tronic Commerc 363-366. , "Application of	e", IBI	es, etc. M Tech	Sub- Class) nnical I	Trans- lation Disclosure	
No.		OTHER "Anonyr Bulletin, D. L. Pos Mechani Systems, Christop	No. R ART mous Vol. 3 t, S. S sm fo Vol. her M	FOR Dalive 39, No. Copp r the Ir 10, No. I. Hess	ry of Go 03, Mar inger, C nterchan 3, Aug , Chris I	Country Country Author, Titl Cods in Electrich 1996, p. G. B. Sheble, age of Electrust 1995, p. F. Kemerer,	Name e, Date, Pertiner tronic Commerc 363-366. , "Application of ric Power", IEEE 1580-1584. "Computerized	e", IBI Aucti Trans	es, etc. M Techions as saction Origin	Sub- Class) a Prici s on Po	Trans- lation Disclosure ng ower	
No.		OTHER "Anonyr Bulletin, D. L. Pos Mechani Systems, Christop An Indus	No. R ART mous Vol. 3 t, S. S sm fo Vol. her M stry C	FOR Dalive: 39, No. Copp r the Ir 10, No. I. Hess	ate uding A ry of Go 03, Mar inger, Co nterchar 3, Aug , Chris I	Country Country Author, Titl Cods in Electrich 1996, p. G. B. Sheble, age of Electrust 1995, p. F. Kemerer,	e, Date, Pertiner etronic Commerce 363-366. , "Application of ric Power", IEEE 1580-1584.	e", IBI Aucti Trans	es, etc. M Techions as saction Origin	Sub- Class) a Prici s on Po	Trans- lation Disclosure ng ower	
No. V.F V.F	7	OTHER "Anonyr Bulletin, D. L. Pos Mechani Systems, Christop	No. R ART mous Vol. 3 t, S. S sm fo Vol. her M stry C	FOR Dalive: 39, No. Copp r the Ir 10, No. I. Hess	ate uding A ry of Go 03, Mar inger, Co nterchar 3, Aug , Chris I	Country Country Author, Titl Cods in Electronic Ch 1996, p. G. B. Sheble, Inge of Electronic Check the Electronic	Name e, Date, Pertiner tronic Commerc 363-366. , "Application of ric Power", IEEE 1580-1584. "Computerized Markets Hypot	e", IBI Aucti Trans	es, etc. M Techions as saction Origin	Sub- Class) a Prici s on Po	Trans- lation Disclosure ng ower	
No.	7	OTHER "Anonyr Bulletin, D. L. Pos Mechani Systems, Christop An Indus Septemb	No. R ART mous Vol. 3 t, S. S sm fo Vol. her M stry C er 199	FOR Dalive: 39, No. Copp r the Ir 10, No. I. Hess	ate uding A ry of Go 03, Mar inger, Co nterchar 3, Aug , Chris I	Country Country Author, Titl Cods in Electrich 1996, p. G. B. Sheble, age of Electrust 1995, p. F. Kemerer,	Name e, Date, Pertiner tronic Commerc 363-366. , "Application of ric Power", IEEE 1580-1584. "Computerized Markets Hypot	e", IBI Aucti Trans	es, etc. M Techions as saction Origin	Sub- Class) a Prici s on Po	Trans- lation Disclosure ng ower	
No. V.F V.F V.F Exami	iner lane	OTHER "Anonyr Bulletin, D. L. Pos Mechani Systems, Christop An Indus Septemb	No. R ART mous: Vol. 3 tt, S. S sm for Vol. her M stry C er 199	FOR Dai Cincle Delive No. Copp r the Ir 10, No. Hess ase Sta 4, p. 2	ry of Go 03, Mar inger, Co terchan 3, Aug , Chris I idy of th	Country Country Author, Titl Cods in Electronic Street 1996, p. G. B. Sheble, p.	Name e, Date, Pertiner tronic Commerc 363-366. , "Application of ric Power", IEEE 1580-1584. "Computerized Markets Hypot	Aucti Trans Loan hesis"	es, etc. M Tech ions as saction Origin , MIS (Sub- Class) nnical I a Prici s on Po	Trans- lation Disclosure ng ower systems:	

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form	PTO-	-1449 (Mo	difie	d)			Atty Docket No.: Serial No.: 003801.P021 09/583,216						
Listof	f Pateni	s and Publi	cation	ns State	ement	\dashv	Applicants:			· ·			
		heets if ne			HICH		Lou Leonardo et al.						
You		8		-		_ 	Filing Date: May 30, 2000						
400	Y 7 6 200	190						, , , , , , , , , , , , , , , , , , ,					
RETOR	RENG	DESIGNA	ATIO	N	U.S. PA	ATE	ENT DOCUM	MENTS					
Exam. Initial		Date	Date Document Number			Name					Sub- Class	Filing Date	
VE		2/20/90		3,201	Wagne	er				364	408	11/3/83	
17'	1	9/7/93		3,515	Lee		<u>-</u>			364	401	10/30/90	
		2/3/98	_	5,402	Popolo)			· · · -	395	237	11/9/95	
		6/16/87		4,044	Kalmu		al.			364	408	1/30/85	
		6/30/87	4,67	7,552	Sibley,	Jr.		-		364	408	10/5/84	
Δ.		12/1/92		8,446	Wisem					364	408	5/23/89	
ViF		4/18/89	4,82	3,265	Nelson	1				364	408	5/11/87	
		,											
No.	FOREIGN PATENT DOCUMENTS No. Document No. Date Country Name Class Sub- Trans-												
						Ι.	-				Class	lation	
		OTHEI	R ART	Γ (Incl	uding A	Luth	or, Title, Da	te, Pertinen	t Pag	es, etc.)		
V.F		Martin R Systems				-	ng an Autom 15-252.	nated Trade	Exec	ution S	System'	′, J.	
							mmerce: Stru No. 1, Fall, 19		Issue	s", Inte	ernatio	nal Journal	
							Linked Fish		Euro	pe, Foo	rus The	me, 4	
		Ingvar T	•			Eide	, "A Case Stu	ıdy of an Or	n-line	Aucti	on for t	he World	
	_	Siegman	n, Kei	n, "No	where to	o Go	o But Up,", PC	C Week, Vol	l. 12,]	No. 42,	. 3 page	es.	
\/ L							opping Picks			ERNET	BOOM	ſS",	
Exam	inor	Compute	er Kes	sener N	iews, ju		5, 1995, ISSN). /3.				
Exam		iol Fr	, lul	l			ate Considere 4/4	103					
B	EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.												

											<u> ruge (</u>	7 01 0
Form	PTO	1499 (Mo	difie	d)			Atty Docket I	No.:	Se	erial N	0.:	
	MAY 1 4	2001					003801.P021		09	/583,2	16	
Liskof	Patent	s and Publi	catio	ns State	ement		Applicants:					
No.	& TRADEN	ts and Publi				- 1	11					
		sheets if ne					Lou Leonardo	et al.				
(0000				<i>"</i>		ŀ	Filing Date:	May 30, 2	000			
								1114 00, 2	.000			
					<u> </u>					-		
REFEI	RENCE	E DESIGNA	ATIO	N	U.S. P	AT	ENT DOCUM	ENTS				
Exam.		Date		ument			· Name			Class	Sub-	Filing Date
Initial	<u> </u>		Nu	mber	<u> </u>		<u>.</u>				Class	
		-	<u> </u>									
			l		<u> </u>							
	FOREIGN PATENT DOCUMENTS											
No.		Document	No.	Di	ate	Cou	ıntry	Name	Cla	ss	Sub-	Trans-
											Class	lation
					-							
	.,.						1 4					
	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)											
L/T	_						rill of Auction vith Week-long					
1.1	_						95, 3 pages.					•
1		Dr. Beat	F. Sch	ımid, "	Resear	ch Is	ssues, "Electro	nic Market	s, Ne	wslette	er of the	2
		Compete	ence C	Centre l	Electro	nic N	Markets, No. 9	/10, Octob	er 93.		·	
					uction	to E	lectronic Auct	ions", Uni	versit	y of M	unster,	Focus
		Theme, 4										11.0
		The second secon					Bidding on the	Internet:	An A	ssessm	ent", C	alitornia
-		State Un	Liversi	Dioto	us Thei	ahe,	o pages.	o with El-	atron:	a A 11 at	ionair	the Dutch
		Flower I	neck	ry" Fo	Cue Th	ome	s, "Experience	s with Elec	ctrom	c Auct	ions in	me Dutch
		Martin D	ock /	Tradie	or_Dros	ente	e, 6 pages. Characteristics	of Floates	nic ^	1104105	" Ecc	10 Thoma
1			CCK,	Hauli	ig-r roc	CSS 1	Characteristics	or Electro	iuc A	uctions	s , roct	is meme,
- V -		7 pages.	Loc	"ATTC	NIET, I	iloc*	tronia Intorna	diants for T	lead C	Or Tro	neactio	ne" Focus
VF	Ho Geun Lee, "AUCNET: Electronic Intermediary for Used-Car Transactions", Focus Theme, 5 pages.							iis , rocus				
<u> </u>		Theme, c	Publ									
Exami	ner		<u> </u>			ת	ate Considered	1				
	MF		$\overline{}$	1				1 1				
	'Un	nel :	tren	ul			09	4/04/0	3			
EVANCE	NICE -	1.0										

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

)-1449 (Mo	/	E	OFFICE	Atty Dock 003801.P02		Serial N 09/583,		
		nts and Publi			RICHT!	Applicants		· · · · · · · · · · · · · · · · · · ·		
(Use se	evera	l sheets if ne	ecessary	y)		Lou Leona		000		
					_	Filing Date	e: May 30, 20	000		
REFER	ENC	E DESIGNA	ATION	1	U.S. PA	TENT DOCU	JMENTS.			
Exam. Initial		Date	Docur Num			Name			Sub- Class	Filing Date
						RECEIV	ĖĎ —			
						· · · · · · · · · · · · · · · · · · ·	2001			
						Technology Ce	nter 2100			
						IRC(IIIOIOG) oo				
		<u> </u>	<u> </u>	FORE	EIGN P.	ATENT DOC	TUMENTS			
		•								
No.		Document	No.	Date	e C	ountry	Name	Class	Sub- Class	Trans- lation
				··· -·-		<u>.</u>				:
				(T. 1	1	41 17141 7				
		OTHE	KAKT	(Includ	ding Au	ithor, Title, L	Date, Pertinent	t Pages, etc	2.)	
NE					n Netwo	ork System, F	Harvard Busine	ess School,	9-190-0	01, April
<u> </u>		12, 1996,	15 pag	ges.						
Examin						Date Conside	ered		-	
	Va	nel Fr	evel	7 ノ		4/4/	03			
EXAMIN	VER:	Initial if referen	nce consi	idered, v			in conformance was form with next o			

۲	`	.)							
Substit	ute for	Form 1449A/PT	O (Modified)			Comple	ete if Know	n	
IN	FOF	RMATION	DISCLO	SUBF	Application Number 09/583,21			16	
Į.					Filing Date May 30, 2			2000	
S	TAI	EMENT B			First Named Inventor: Lou Leona			······································	
		(use as many shee	<i>''</i>	ି ।	Group Art Unit -2700 - 3				
			\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	L 0 8 2002	Examiner Name Not yet as				
Sheet	1		of A		Attorney Docket Nu	mber	3801.P02		
				THADEMA	ALT DOCUMENTS		1 4 5 5 11 1		
Exam.	Cite	U.S. Patent I	Document		NT DOCUMENTS tentee or Applicant	Date of	Publication of	Pages, Columns, Lines,	
Initial*	No.¹	Number	Kind	of Cite	ed Document		Document DD-YYYY	Where Relevant Passages or Relevant	
		Code ²	(If known)			IVIIVI-	ווווי-טט	Figures Appear	
VF		5,790,790			ith, et al.		04-1998		
V.F		5,845,265			oolston		01-1998		
VF		6,047,264			ner, et al.		04-2000		
VF		6,061,448			ith, et al. 05-09-2000				
VE		6,085,176			oolston		04-2000		
V.F		6,119,137	- 54		ith, et al.		12-2000	RECEIVED	
V·F	 	6,178,408	B1 B1		ple, et al. 01-23-200 ith, et al. 02-20-200				
VIE		6,192,407 6,202,051	B1		oolston		<u>20-2001</u> 13-2001	JUL 1 1 2002	
VIE		6,243,691	B1		ner, et al.		05-2001		
V. P		0,240,001	5'	1 131	ici, ci ai.	00-	00-2001	Technology Center 21	
						- 	DEA		
				 	· · · · · · · · · · · · · · · · · · ·		HEC	EIVED	
							1111	1 0 0000	
							00[- 0 2002	
	<u> </u>						GROL	P 3600	
	<u> </u>		<u>l., i</u>						
	FOREIGN PATENT DOCUMENTS								
Exam.	Cite	Foreign Paten			entee or Applicant			Pages, Columns, T6	
Initial*	No. ¹				d Document	of Cited Do	cument Lir	nes, Where Relevant assages or Relevant Figures Appear	

į	FOREIGN PATENT DOCUMENTS																	
Exam. Initial*	Cite No. ¹	Forei Office ³	gn Patent Document Number ⁴ Kind Code ⁵ (If known)		Number⁴ Kind Code⁵				Office ³ Number ⁴ Kind Code ⁵		Office ³ Number ⁴ Kind Code ⁵		Number ⁴ Kind Code ⁵		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		_																

Examiner	MO DE D	Date Considered
Signature	Vanel Trenel	4/4/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.